

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Shivam Autotech Limited
2. Quarter ending - 30-Sep-2020

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive / Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed ?	Date of passing special resolution	No. of Directorships in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit / Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit / Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Mr. Sunil Kant Munjal	00003902	AIBPM4928J	ID,C & NED		14-Feb-2006	25-Sep-2019	24-Sep-2020	60	14-Dec-1957	No		2	2	2	0	AC,NRC	
Mr.	Neeraj Munjal	00037792	ABMPM8680R	ED	CEO -MD	29-Jul-2005	01-Apr-2016		60	01-Jul-1967	NA		1	0	1	0	SC	
Mrs.	Charu Munjal	03094545	ADVPM3847K	ED		28-May-2013	01-Jun-2018		60	04-Jun-1972	NA		2	0	0	0		
Mr.	Bhagwan Dass Narang	00826573	AAEPN3092R	ID		14-Feb-2006	25-Sep-2019		60	12-Apr-1945	Yes	25-Sep-2019	2	2	5	3	AC,SC,RC, NRC	

Mr.	Anil Kumar Gupta	02643623	AAD PG89 69M	NED		09-Aug-2019	09-Aug-2019		60	02-Apr-1951	No		2	1	2	0	AC,SC,R C,NRC
Mrs.	Jyothi Prasad	06947488	AAEP P6028 P	ID		13-Nov-2019	13-Nov-2019		60	29-Nov-1963	No		2	2	6	4	AC,SC,R C

Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Bhagwan Dass Narang	ID	Chairperson	03-Aug-2006	
2	Mr. Sunil Kant Munjal	ID,C & NED	Member	03-Aug-2006	24-Sep-2020
3	Anil Kumar Gupta	NED	Member	09-Aug-2019	
4	Jyothi Prasad	ID	Member	13-Nov-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Jyothi Prasad	ID	Chairperson	13-Nov-2019	
2	Bhagwan Dass Narang	ID	Member	02-Aug-2006	
3	Neeraj Munjal	ED	Member	02-Aug-2006	
4	Anil Kumar Gupta	NED	Member	09-Aug-2019	

Company Remarks	
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Whether Permanent chairperson appointed	Yes
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c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Anil Kumar Gupta	NED	Chairperson	09-Aug-2019	
2	Jyothi Prasad	ID	Member	13-Nov-2019	
3	Bhagwan Dass Narang	ID	Member	08-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Bhagwan Dass Narang	ID	Chairperson	02-Aug-2006	
2	Mr. Sunil Kant Munjal	ID,C & NED	Member	02-Aug-2006	24-Sep-2020
3	Anil Kumar Gupta	NED	Member	09-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
10-Jun-2020	28-Jul-2020	Yes	6	3
	31-Aug-2020	Yes	6	3
	25-Sep-2020	Yes	5	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	47

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee		28-Jul-2020	Yes	4	3
Audit Committee		31-Aug-2020	Yes	4	3
Stakeholders Relationship Committee		28-Jul-2020	Yes	4	2
Stakeholders Relationship Committee		31-Aug-2020	Yes	4	2
Risk Management Committee		28-Jul-2020	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	33

v. Related Party Transactions

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **No**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Consequent to the resignation of Mr. Sunil Kant Munjal, Chairman and Independent Director, on September 24, 2020, the Composition of Board of Directors and Nomination and Remuneration Committee is not in accordance with SEBI (Listing Obligations and Disclosure Requirements), 2015. But the Company is in process for the Appointment of New Director who will be appointed in the next Board Meeting or three months whichever is earlier pursuant to second proviso to Rule 4 of Companies (Appointment and Qualification of Directors) Rules, 2014.

Name : **Santa Bajaj**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

<i>Broad Heading</i>	<i>Regulation Number</i>	<i>Compliance Status</i>	<i>Company Remark</i>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **Samta Bajaj**
Designation : **Company Secretary & Compliance Officer**