

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH,  
2023.**

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023, and based on our such examination as well as the information and explanations given to us by the Company on a remote basis, which to the best of our knowledge and belief were necessary for the purpose, **We hereby certify that:**

- A) 1. In accordance with SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022, the Company has processed 'Letter of Confirmation' in lieu of physical securities in respect of requests relating to transmission/deletion of name of securities received during the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March 2023, within the periods stipulated under the Listing Regulations, from the date of lodgement with the Company excepting those rejected on technical grounds.
2. As informed to us there were no requests relating to transfer of securities, transposition, split, consolidation, exchange or endorsement of calls/allotment monies etc. received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to COVID-19 situation.

For **SATYENDER KUMAR & ASSOCIATES**  
Company Secretaries



*Satyender Kumar*  
**SATYENDER KUMAR**  
Proprietor  
(C.P. NO. 5189)  
FCS 4087

UDIN NO. F004087E000197280

Peer Reviewed Unit

Place : Gurugram  
Date : April 26, 2023