

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - SHIVAM AUTOTECH LIMITED
 2. Quarter ending - MARCH 31, 2021

i. Composition of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Bhagwan Dass Narang	00826573	AAEPN3092R	ID,C & NED		14-Feb-2006	25-Sep-2019		60	12-Apr-1945	Yes	25-Sep-2019	2	2	5	2	AC,SC, NRC
Mr.	Neeraj Munjal	00037792	ABMPM8680R	ED	CEO-MD	29-Jul-2005	01-Apr-2016		60	01-Jul-1967	NA		1	0	1	0	SC
Mrs.	Charu Munjal	03094545	ADVPM3847K	ED		28-May-2013	01-Jun-2018		60	04-Jun-1972	NA		2	0	0	0	
Mr.	Anil Kumar Gupta	02643623	AADPG8969M	NED		09-Aug-2019	09-Aug-2019		60	02-Apr-1951	No		2	1	2	0	AC,SC, NRC
Mrs.	Jyothi Prasad	06947488	AAEPP6028P	ID		13-Nov-2019	13-Nov-2019		60	29-Nov-1963	No		2	2	5	3	AC,SC, NRC
Mr.	Sunil Chinubhai Vakil	02527630	AAVPV1337Q	ID		21-Dec-2020	21-Dec-2020		48	06-May-1956	No		1	1	2	1	AC,SC, NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Jyothi Prasad	ID	Chairperson	13-Nov-2019	
2	Anil Kumar Gupta	NED	Member	09-Aug-2019	
3	Bhagwan Dass Narang	ID,C & NED	Member	03-Aug-2006	
4	Sunil Chinubhai Vakil	ID	Member	12-Feb-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sunil Chinubhai Vakil	ID	Chairperson	12-Feb-2021	
2	Jyothi Prasad	ID	Member	13-Nov-2019	
3	Bhagwan Dass Narang	ID,C & NED	Member	02-Aug-2006	
4	Neeraj Munjal	ED	Member	02-Aug-2006	
5	Anil Kumar Gupta	NED	Member	09-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Jyothi Prasad	ID	Chairperson	09-Nov-2020	
2	Bhagwan Dass Narang	ID,C & NED	Member	02-Aug-2006	
3	Anil Kumar Gupta	NED	Member	09-Aug-2019	
4	Sunil Chinubhai Vakil	ID	Member	12-Feb-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
09-Nov-2020	12-Feb-2021	Yes	5	3
03-Dec-2020		Yes	5	2
21-Dec-2020		Yes	5	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	52

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	09-Nov-2020		Yes	3	2
Audit Committee		12-Feb-2021	Yes	4	3
Stakeholders Relationship Committee	09-Nov-2020		Yes	4	2
Stakeholders Relationship Committee		12-Feb-2021	Yes	5	3

Nomination & Remuneration Committee	09-Nov-2020		Yes	2	1
Nomination & Remuneration Committee	21-Dec-2020		Yes	3	2
Stakeholders Relationship Committee		12-Feb-2021	Yes	4	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	94

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
--	--

VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure

requirements) Regulations, 2015. - **Yes**

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

--

Name : **Samta Bajaj**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
<i>Item</i>	<i>Compliance status</i>	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.shivamautotech.com
Terms and conditions of appointment of independent directors	Yes		www.shivamautotech.com
Composition of various committees of board of directors	Yes		www.shivamautotech.com
Code of conduct of board of directors and senior management	Yes		www.shivamautotech.com
Details of establishment of vigil mechanism/ Whistle Blower	Yes		www.shivamautotech.com
Criteria of making payments to non-executive directors	Yes		www.shivamautotech.com
Policy on dealing with related party transactions	Yes		www.shivamautotech.com
Policy for determining 'material' subsidiaries	Not Applicable		
Details of familiarization programs imparted to independent	Yes		www.shivamautotech.com
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor	Yes		www.shivamautotech.com
Contact information of the designated officials of the listed entity	Yes		www.shivamautotech.com
Financial results	Yes		www.shivamautotech.com
Shareholding pattern	Yes		www.shivamautotech.com
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional	Not Applicable		
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Yes		www.shivamautotech.com
Credit rating or revision in credit rating obtained by the entity for	Yes		www.shivamautotech.com
Separate audited financial statements of each subsidiary of the	Not Applicable		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.shivamautotech.com
Materiality Policy as per Regulation 30	Yes		www.shivamautotech.com
Dividend Distribution policy as per Regulation 43A (as applicable)	Not Applicable		
It is certified that these contents on the website of the listed entity	Yes		www.shivamautotech.com
II Annual Affirmations			

Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	

<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Not Applicable	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Yes	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent Directors</i>	25(10)	Not Applicable	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Name : **Samta Bajaj**
Designation : **Company Secretary & Compliance Officer**